

UAN Structure

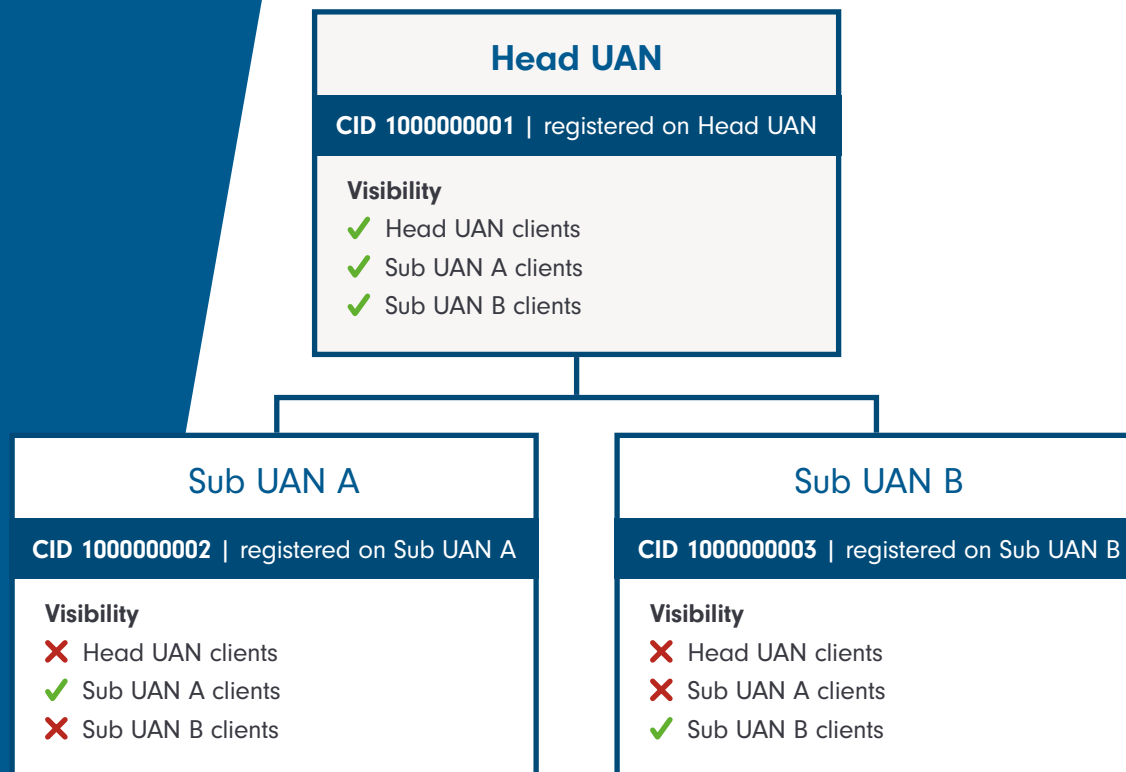
Companies can set up multiple UANs (Unique Agency Numbers) with Fidelity. Typically, this is done with a Head UAN and then sub UANs underneath the Head UAN. When an adviser registers, they will receive a CID (Consultant ID) linked to whichever UAN they have been registered against.

If a CID is on the Head UAN they can view clients on the Head UAN and any Sub UANs as well. Whereas if a CID is on a Sub UAN, that CID can only see clients linked to the Sub UAN.

This hierarchy also applies to the firm level Reporting Services offered by Fidelity Adviser Solutions. So a report set up on the Head UAN will include all clients on the Head UAN and any Sub UANs, but a report set up on a Sub UAN will only include clients on the Sub UAN.

In the example on the right, the company has a Head UAN and two Sub UANs with a user registered on each one.

- **CID 1000000001 (registered on Head UAN)**
Can see all clients on Head UAN and Sub UANs
- **CID 1000000002 (registered on Sub UAN A)**
Can see only clients on Sub UAN A
- **CID 1000000003 (registered on Sub UAN B)**
Can see only clients on Sub UAN B



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