Selling in the event of the death of a Fidelity investor

For withdrawing money from the account of an investor who has died

Use this form to:

- sell the investments in an ISA, Lifetime ISA (workplace customers only), Investment Account or Cash Management Account. This form should be completed by the executors or administrators.

Don't use this form if:

- you'd like to transfer investments to other investors.

Before you fill in this form:

- make sure you read the important documents shown in the box to the right
- if you'd like to sell some of the investments in an account and transfer others, please do the transfers first, using the appropriate form at fidelity.co.uk/bereavement. To open an ISA using the allowance of your late spouse/civil partner, you'll need to fill in an Inherited ISA Allowance Form. To transfer an Investment Account within Fidelity, you'll need to complete a Moving Assets to an Investment Account on the death of a Fidelity Investor Form. If you're transferring the investments to a joint account, you'll also need to complete a Joint Holder Supplement Form.
- if you only have one Grant of Representation and don't want to put it in the post, you can get copies from the probate office. If you prefer, you can send us a photocopy, but it must be certified. You'll find details of how to get copies certified in our Guide for Executors and Administrators at fidelity.co.uk/bereavement.

How to fill in this form:

- please use black ink and write clearly inside the boxes provided using capital letters
- mark your answers with a cross in the appropriate box like this: ☒
- if you make a mistake, please correct it but don't use correction fluid
- Any applications that aren't completed correctly might cause delays or could be returned to you.
- We can only accept applications on original forms. We can't accept photocopies or faxed copies.

Checklist:

☐ Make sure the form is completed and signed in full

☐ Read and sign the declaration in section 5 and ensure executor(s)/ administrator(s) date of birth is provided

☐ Official sealed or certified Grant of Representation (Please refer to the Guide for Executors and Administrators)

What's next?

Remove and keep this cover page and send the completed form to:

Fidelity
PO Box 391, Tadworth, KT20 9FU

We'll do some identity verification checks and carry out your instructions and send you confirmation of each sale or transfer. If we can't verify your identity we might get in touch to ask you for other documents. If the account has any holdings within the Cash Management Account, these will be moved to the beneficiaries' Cash Management Account.

Further information on fidelity.co.uk

You should read these documents before completing this form. You will find them at **fidelity.co.uk/bereavement**

- Terms & Conditions – this document governs our relationship with you and forms the agreement between us.
- Key Features Document – this sums up what you need to know about the redemption.
- When choosing funds make sure you read the key information document or product summary which sets out key information about the fund including the objectives and risks, what it invests in and its charges.
- Guide for Executors and Administrators – this supports you with the process of dealing with any investments held with Fidelity, to help make it that bit smoother for you.

Want to talk to us?

Freephone **0800 41 41 16**
Overseas **01737 838 000**

1 About the account holder

Their Fidelity Customer Reference Number

(This can be found on the investment valuation letter. It is 10 digits long, starting 100. Please note that it is different from an account number.)

☐ **Mark an X in this box if you would like to sell the investments in all of the accounts that belonged to this investor. In this case, you do not need to give us the account numbers below. Account numbers are 10 characters long and start with a capital letter. You will be able to find them on the letter we sent you acknowledging the death.**

Please remember that this form is an instruction to sell ALL the investments in an account. If you would like to sell some of the investments in an account and transfer others, you should complete the transfers first.

Account number 1

Account number 2

Account number 3

Account number 4

Account number 5

Their title

☐ Mr ☐ Mrs ☐ Ms Other:

Their surname

Their first name

Their middle name/s

Their date of birth (DDMMYYYY)

Their date of death (DDMMYYYY)

Their National Insurance Number
(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?
If they were never issued with a National Insurance Number please mark an X in the box. ☐

2 Your options for the money from this sale of investments

To distribute the money from the sale please mark an X in one of the options below.

Receive the money in your bank account. You will need to complete the bank account details in section 3 and complete section 4 with details of the person we should send transaction confirmations to. ☐

If you are the sole beneficiary and are a existing customer, you can have the money transferred into your Fidelity Cash Management Account. This may take five working days or longer. ☐

Please give us your Fidelity Customer Reference Number

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FOR OFFICE USE ONLY

☐ ☐ Docs

3 Bank account details

Payments will be made to an estate's bank account or, if none exists, to the personal account of the Executor or Administrator. For estates managed by professionals, payments will only be made to the client money account of an SRA regulated Solicitor, ICAEW regulated Accountant or CLC regulated conveyancer.

I authorise Fidelity to make payments arising from the sale of shares/units by direct credit transfer to the bank/building society account detailed below. Please note that the name of the bank account should be in the name of the executor, administrator or solicitor.

Account holder name

Account number

Sort code

Name and address of bank or building society

Name

Street, city, county and country

Postcode

Building Society Collection Account Number (If applicable)
*Building Society accounts - the sort code and building society collection number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Please ensure that the account number and sort code are correct. Fidelity will not accept responsibility for any loss incurred by the applicant.

Payment Reference

4 Person we should send transaction confirmations to

To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this with the information you have provided. If we are unable to do this, we may need to ask for additional identity verification documents.

When we sell the investments in the account we will send transaction confirmations to the person whose name and address you give us below.

Their title

☐ Mr ☐ Mrs ☐ Ms Other:

Their surname

Their first name

Their middle name/s

Address

House number/name

Street, city, county and country

Postcode

5 Declaration and signature(s)

- I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Client Terms.
- I/We instruct Fidelity to sell the investments from the accounts shown in section 1 and release the proceeds of the sale in line with the instructions in section 2.

All executors/administrators must sign. For companies, 2 signatories are required along with a copy of the authorised signatories list

Signature 1

Print name

Date of birth (DDMMYYYY)

Telephone number

Signature 2

Print name

Date of birth (DDMMYYYY)

Telephone number

Signature 3

Print name

Date of birth (DDMMYYYY)

Telephone number

Signature 4

Print name

Date of birth (DDMMYYYY)

Telephone number

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