



# Sell your own Investment Account to use your inherited ISA allowance

## To sell your existing holdings to open an ISA using your inherited ISA allowance

### How to fill in this form:

- Use this form if you are making use of your late spouse's/ civil partner's ISA allowance.
- Please use black ink and write clearly inside the boxes provided using capital letters.
- Mark your answers with a cross in the appropriate box like this:
- **We may need to contact you if you don't complete all details correctly which may cause delays to your application.**
- Complete one form for each account that you wish to sell.
- If you have any queries about this form please visit [fidelity.co.uk/bereavement](http://fidelity.co.uk/bereavement) or call our Customer Services Team on **0800 41 41 16**

- If you are investing into the same asset(s) as you currently hold, you will not necessarily have the same number of units in your ISA. Bid/Offer spreads, broker dealing, Fund Manager's Sell Charges or Fund Manager's Buy Charges may apply.
- You should be aware that your monies will not be invested for approximately 5 working days but may take longer.

### What's next?

Please send your completed application form to:

**Fidelity**  
**PO Box 391**  
**Tadworth KT20 9FU**

## 1 About you

### Account Number or Customer Reference Number

### Title

 Mr  Mrs  Ms Other: 

### Surname

### First and other names in full

### Phone number

### Mobile number

### Email

### Your address - 'Care of' and PO Box are not acceptable.

House number/name

Street, city, county and country

 Postcode 

### Date of birth (DDMMYYYY)



### 3 Holdings you want to sell from your general investment (not held in an ISA)

Existing account number











Account designation (if applicable) (your account has one assigned)




















If you mark an X in the 'Sell Entire Asset' box we will sell the assets in sequence from the first listed asset until the available ISA inheritance allowance has been reached. If an asset you are selling carries a fund manager sell charge, you authorise us to sell enough of this asset to generate the amount required after charges have been taken.

Asset code	Asset name	Sell entire asset (please mark an 'X' in the box below) OR Specify amount (£)
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/> or <input type="text"/>
<b>Total</b>		<input type="text"/>

## 4 Your investment choices in your ISA

- The additional inheritance allowance expires 3 years after the date of death or 180 days after administration of the estate is complete.
- For an investor who died **on or before 5 April 2018** the allowance is equal to the value of the ISA on the date of their death even if the value at closure is greater.
- For an investor who died **on or after 6 April 2018** the allowance is either equal to the value of the ISA on the date of their death, or the value at the closure of the account depending on which is higher.

**It's important to write the asset code and name clearly inside the boxes provided using capital letters – we use the code to determine your asset choice. You can find details of all investment options and asset codes at [fidelity.co.uk](http://fidelity.co.uk)**

**Should your investment choice be unclear, this may cause a delay in processing the instruction.**

Asset code	Asset name	% to be invested
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<b>Cash</b>	<input type="text"/>
<b>Total %</b>		<input type="text"/>

Any monies that are in excess of the inherited ISA allowance will be held in your cash management account awaiting your instruction. If you don't have a cash management account we will open one for you.

Should any of your chosen assets pay income, we follow your existing preferences for income payments on your existing ISA.

If this instruction is to set up a new ISA, income will automatically re-invest. You will find further income options available online. Please go to [fidelity.co.uk](http://fidelity.co.uk) for more details.

## 5 Bank account details

This section must be completed and will be used for any future payments to you.

I authorise Fidelity to make future payments by direct credit transfer to the bank/building society account detailed below. Please note that the name of the bank account should be in your name or a joint account that includes you as one of the holders. Third party bank account names are not accepted.

Name and full postal address of your bank or building society		Bank/building society account number							
Name		<input type="text"/>							
Address		<input type="text"/>							
Postcode		<input type="text"/>							
Name(s) of account holder(s)		Branch sort code							
<input type="text"/>		<input type="text"/>							

## 6 Intermediary details - to be completed by the intermediary (if applicable)

If you are investing without advice you don't need to complete this section.

### Company stamp

### Unique Adviser Number

### FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

### Remuneration details

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

### Verification of identity

- I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified the client in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with FundsNetwork.
- The key information document applicable to the client's investment.
- Personal Illustration.
- The FundsNetwork Client Terms.

### Intermediary signature



### Date signed

(DDMMYYYY)

## 7 Declaration and signature

Your Fidelity ISA is managed by Financial Administration Services Limited, a FIL Group Company. I declare that:

- I am the surviving spouse/civil partner of the account holder identified in section 2 and was living with the deceased within the meaning of section 1011 of the Income Tax Act 2007 at the date of the deceased's death
- The subscription is made under the provisions of regulation 5DDA of the ISA regulations.
- The subscription is being made by a payment of cash.
- That, in the permitted period, I have not made, with any other ISA manager, any subscription permitted by the regulation 5DDA in respect of the deceased spouse/civil partner.
- The subscription is being made in the period beginning with the date of the deceased's death and ending:
  - (i) no more than 3 years thereafter, or in the case of the deceased's death occurring in the period beginning with 3rd December 2014 and ending with 5 April 2015, no later than 5 April 2018; or
  - (ii) no more than 180 days after administration of the estate is complete.

I have read and saved or printed the latest version of:

- The Key Features Document
- Doing Business with Fidelity incorporating the Fidelity Client Terms or Doing Business with FundsNetwork.
- the key information document.
- the illustration document.

**Important Notice:** If you have not received one or all of the documents listed above relating to the asset(s) you wish to invest in, please go to [fidelity.co.uk](http://fidelity.co.uk) or contact us on **0800 41 41 16** Monday to Friday 8am to 6pm and Saturday 9am to 6pm.

- I accept the Fidelity Client Terms relating to the inherited ISA allowance
- All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
- I have not subscribed, and will not subscribe, more than the overall subscription limit.
- I authorise Financial Administration Services Limited:
  - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
  - to make on my behalf any claims to relief from tax in respect of ISA investments.
- The information given by me is correct to the best of my knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.

I declare that:

- I/We wish to sell the above assets from my/our non-ISA account.

- I understand that all investments will need to be sold and then re-purchased to use my inherited ISA allowance. This will usually take between 5 and 10 days but may take longer in certain circumstances. During this period your money will remain un-invested so you may incur a loss due to market movement. You may also incur taxes and other applicable dealing charges (such as a bid-offer spread, broker dealing, Fund Manager's Sell Charges or Fund Manager's Buy Charges) on this transaction. Fidelity will not be held responsible or be liable for any loss, taxes or charges you incur during this process.

### Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian, or
- Power of Attorney


Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

### Your signature

#### ISA Investor Signature



Print name

Date signed

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 (DDMMYYYY)

**If the Investment Account being sold is held jointly then the registered holders must sign on the final page.**

## 7 Declaration and signature (continued)

### Signatures of all registered holders

#### Additional signature 1



Print name

#### Additional signature 2



Print name

#### Additional signature 3



Print name