

Re-registration (incorporating Switch)



Application form – you can re-register ISAs and/or Investment Accounts using this form.

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters
- Mark your answers with a cross in the appropriate box like this:
- If you make a mistake, please correct it but don't use correction fluid
- Any applications received that are not completed correctly may incur delays or could be returned to you
- If you have any questions about the form, please speak to your adviser or call us on **0800 358 4060**.
- Applications can only be accepted using original forms, photocopies or faxed copies cannot be accepted
- To re-register assets you need only complete one application form. However you will need to complete and return one Letter of Authority for each provider you are re-registering from.

Notes

- If you are investing into an Investment Account (i.e. non-ISA) and you are not the beneficial owner of this investment then

we are unable to transfer your assets and you are unable to proceed with this application form.

- For certain investments we may not offer the same share class of the asset in which you are currently invested. For such investments, once we have received the holdings from the existing provider we will switch them into the alternative share class of the same asset. Please ensure you have read Re-registration: What you need to know.
- Should any of the re-registered assets pay income, we will follow your existing preferences for income payments on your account. If you are opening a new account, then income payments will be automatically reinvested for you.

What's next?

Please check with the existing provider that the clients details match those held on our records, and that the client is fully verified, as this can cause delays.

Please send your completed application form to:
Fidelity, PO Box 391, Tadworth, KT20 9FU

1 About you

To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score.

Account Number or Customer Reference Number (if applicable)

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Title

Mr
 Mrs
 Ms
 Other:

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Gender

Male
 Female

Surname

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

First and other names in full

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Your address – 'Care of' and PO Box are not acceptable. Only UK addresses are eligible unless you or your spouse/civil partner are a Crown Employee or British Forces Posted Overseas.

House number/name

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Street, city, county and country

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Postcode

--	--	--	--	--	--	--	--

Crown employee?

If your address is outside of the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box.

Telephone number

--	--	--	--	--	--	--	--	--	--

1 About you (continued)

Email address

Date of birth (DDMMYYYY)

Town of Birth

Country of Birth

National Insurance Number

(this can be found on a payslip or a letter from HMRC)

No National Insurance Number?

If you have never been issued with a National Insurance Number please mark an X in the box.

Are you a UK National only? (Please mark an X in the box)

Are you a UK National and National of one or more other countries? (tick box and list all other countries below)

Are you a National of Non-UK countries only? (tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

From 3rd January 2018 in order to invest in exchange traded products (Investment Trusts or Exchange Traded Funds) we are required to capture a national identifier for clients for one of their nationalities for reporting purposes. If your client is a UK Citizen, this is the National Insurance Number. If your client is dual national or a national of another country then another identifier may be required. Please refer to our guide for capturing nationalities. We are allowing capture of this information online so your clients can invest in existing or new exchange traded products after 3rd January 2018.

National Identifier

Driving Licence number (If applicable - 18 characters as shown on your photocard)

Employment Status

Employed Self-Employed Full-Time education Unemployed Pensioner

Source of this investment

Savings from income Inheritance Income from salary

Divorce Settlement Gift

Sale of Property Sale of Investments/transfer

Other (Please specify)

2 Type of account(s) to be re-registered (and switched where applicable)

If you wish to re-register an **Investment Account**, please continue to Section 3

If you wish to re-register both an **Investment Account** and an **ISA**, please continue to Section 3.

If you wish to re-register an **ISA** only, please skip section 3 and continue to Section 4.

3 Investment Account holding to be re-registered (and switched where applicable)

Confirmation of Beneficial Owner

Please complete your beneficial owner relationship below:

I am personally entitled to the assets (cash and investments) in this account and hold them for my own benefit.

Please mark an X in the box and proceed with this section.

Please specify in the boxes below whether you wish your holding to be invested into an existing account or a new account.

Existing Investment Account

Please re-register my investment to the existing Investment Account specified here.

Existing Investment Account number

Existing Account Designation

(If this account has a designation assigned to it please enter it below)

OR

New Investment Account

Please re-register my investment to a new Investment Account.

New Account Designation

NB: If you already have an existing account (excluding ISAs) you must enter a designation to identify this new account, otherwise this investment will be treated as a top up into your existing account. The maximum number of characters is 18. Please note that we can not accept the word 'trust'.

Are there any existing Joint Holders on this account to be re-registered? If yes please mark this box

All holders, already on the account to be re-registered, must sign the last page of this form. The Joint Holder Supplement Form must also be completed and returned with this application form.

Are you a resident in the UK for tax purposes? If yes please mark this box

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country

First country tax identifier

I do not hold a tax identifier for this residency If correct please mark an X in this box

Additional country

Additional country tax identifier

I do not hold a tax identifier for this residency If correct please mark an X in this box

Additional country

Additional country tax identifier

I do not hold a tax identifier for this residency If correct please mark an X in this box

Please now continue to section 4

4 Bank Account Details

Any bank account details given in this section will override existing bank details that we may hold for you.
We do not accept payments from or make payments to third parties.
Please make sure that you sign and date the instruction below.



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 9FU.

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
Postcode	

Name(s) of account holder(s)

--	--	--	--	--	--	--	--	--	--

Bank/building society account number

--	--	--	--	--	--	--	--	--	--

Branch sort code

--	--	--	--	--	--

Service User Number

4	4	6	1	3	8
---	---	---	---	---	---

Reference

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Instruction to your bank or building society

Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Signature(s)
Date

Banks and building societies may not accept Direct Debit instructions for some types of account

DD12

Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account.
If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

5 Intermediary details - to be completed by the intermediary

Company stamp

Unique Adviser Number

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Remuneration details

Have you provided a personal recommendation?

(You must complete either the Yes or No box)

- Yes – This option would default your remuneration type to Fee and override any alternate remuneration choice
- No

Please note these assets will be added to your client's fee account and if Adviser Ongoing Fee has previously been setup this will be automatically applied. An Initial Fee cannot be applied to this type of instruction.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

- I confirm and consent to Fidelity's reliance on the fact that I have verified the client in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with Fidelity Adviser Solutions.
- The key information documents for any investments Fidelity will switch the client's holdings to as soon as we have received them from the existing provider.
- The Fidelity Adviser Solutions Client Terms.

Intermediary signature

Date signed

(DDMMYYYY)

6 Declaration and signature

If you have selected to re-register (and switch where applicable) an ISA and/or an Investment Account, please sign below. If you are re-registering an ISA, there will only be 1 primary account signature required.

Your ISA and Investment Account are managed by Financial Administration Services Limited, a Fidelity International Group company.

I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Adviser Solutions Client Terms. For your own benefit and protection you should read the Fidelity Adviser Solutions Client Terms carefully before signing them. If you do not understand any point or have not received one or all of the documents above please contact your adviser.

By signing this form I/we confirm that I am not/we are not a US citizen, that I am not/we are not resident in the US, and that I do not/we do not have an obligation to pay tax to the US tax authorities on my/our worldwide income.

I/We declare that:

- My adviser has provided me with the following documents either as an electronic version, which I/we have saved or printed, or as a paper copy and I/we have read:
 - The Key Features Document (Doing Business with Fidelity Adviser Solutions)
 - The key information documents for any investments that my/our holdings will be switched to as soon as you have received them from the existing provider.
 - The Re-registration: What you need to know document.
- I/We accept the Fidelity Adviser Solutions Client Terms.
- All subscriptions made, and to be made, belong to me/us, and that I am/we are 18 years of age or over.
- Where I/we have elected to switch my/our holdings into an alternative share class I/We understand that the charges (and possibly income options) of the new share class may differ from the share class currently held and that for a dual priced asset, a bid-offer spread may be charged. Fund Manager's Buy and Sell charges may apply on some investments.
- Where contributing to a current year ISA following a transfer, I apply to subscribe to an ISA in the tax year 2024/2025, and if investing in Monthly Savings, each subsequent year until further notice.
- I have not subscribed and will not subscribe more than the overall subscription limit in the same tax year.

- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with a person who performs such duties. I will inform Fidelity if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Financial Administration Services Limited to:
 - hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - make on my behalf any claims to relief from tax in respect of ISA investments.
 - switch my holdings into a different share class of the same asset, if applicable.
- The information given by me/us is correct to the best of my/our knowledge, and I/we will inform Fidelity immediately of any changes to the information contained therein.
- As a Fidelity Adviser Solutions customer, an element of the monies I/we hold in my/our account may pay fees to my/our adviser(s). I/We authorise Fidelity to link the relevant plan year, or fee to the adviser specified on this form.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian (where the client is mentally or physically incapacitated), or
- Power of Attorney with a signed letter confirming that the client is prevented from signing the application as a result of their physical incapacity (in cases of physical incapacity only).

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

Please sign the next page

6 Declaration and signature (continued)

Signatures of all applicants

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form. For ISA re-registrations, only the primary account holder signature box is required to be signed.

Primary account signature

Print name

Third account signature

Print name

Second account signature

Print name

Fourth account signature

Print name

Date signed

 (DDMMYYYY)

Note:

For applications with joint holders the Joint Holder Supplement Form must also be completed and returned with this application form.