Pension transfer on the death of a Fidelity Investor

For transferring pension assets from a deceased investors account

Use this form to:

- transfer assets from a deceased investors pension account into a pension account in the name of the beneficiary

Don't use this form if:

- if you wish to take the pension as a lump sum payment
- if the assets are within an ISA or investment account
- if you wish to purchase an annuity

Before you fill in this form:

- make sure you read the important documents shown in the box to the right.

How to fill in this form:

- please use black ink and write clearly inside the boxes provided using capital letters
- mark your answers with a cross in the appropriate box like this: ☒
- if you make a mistake, please correct it but don't use correction fluid
- Any applications that aren't completed correctly might cause delays or could be returned to you.
- Applications can only be accepted using original forms. Photocopies or faxed copies cannot be accepted.

Checklist:

☐ Ensure the form is completed and signed in full

Note - To add an adviser to the pension account receiving this transfer Section 5 needs to be completed

☐ Read and sign the declaration in section 6

What's next?

Remove and keep this cover page and send the completed form to:

Fidelity
PO Box 391
Tadworth
KT20 9FU

We will complete our identity verification checks and carry out your instructions and send you confirmation of the transfer. If we are unable to verify your identity we may contact you to request further documents

Further information on fidelity.co.uk

You should read these documents before completing this form. You will find them at **fidelity.co.uk/bereavement**

- Terms & Conditions – this document governs our relationship with you and forms the agreement between us.
- Key Features Document – this summarises everything you need to know about the pension.
- Make sure you read the key information document which sets out key information about the funds you are inheriting including the objectives and risks, what it invests in and its charges.

Want to talk to us?

Freephone **0800 41 41 16**
Overseas **01737 838 000**

1 About the late account holder

Their Fidelity Customer Reference Number (This can be found on the investment valuation letter. It is 10 digits long, starting 100. Please note that it is different from an account number)

Account number 1

Account number 2

Account number 3

Their title

☐ Mr ☐ Mrs ☐ Ms Other:

Their surname

Their first name

Their middle name/s

Their date of birth (DDMMYYYY)

Their address at time of death.
House Number/Name

Street, City, County and Country

Postcode

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FOR OFFICE USE ONLY

☐ ☐ Docs

M 0 0 4 5 8 0 1

2 About you the beneficiary

Do you have an account with Fidelity

☐ No ☐ Yes - please give us your customer reference number

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Surname

First name

Middle name/s

Date of birth (DDMMYYYY) **Town of birth**

Country of birth

Employment Status

☐ Employed ☐ Self-Employed ☐ Full-Time education ☐ Unemployed ☐ Pensioner

☐ Caring for children nder 16 ☐ Other - Please specify below

Do you have a National Insurance (NI) Number? (this can be found on a payslip or a letter from HMRC or DWP)

☐ No ☐ Yes -

Are you a UK National only? (Please mark an X in the box) ☐

Were they a UK National and National of one or more other countries? ☐
(Please mark an X in the box and list all other countries below)

Were they a National of Non-UK countries only? ☐
(Please mark an X in the box and list all other countries below)

Nationality 1 **Nationality 2**

Driving Licence number (if applicable - 18 characters as shown on your photocard)

Phone numbers

Home Mobile

Email

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2 About you the beneficiary (continued)

Address. 'Care of' and PO Box are not acceptable.

Street, City, County and Country

Postcode

3 Transfer Instruction

- **We will use the same investment choices as the late deceased account holder**
- **The investments will be placed in a flexi-access drawdown account separate to any other investments you hold**
- **Once the assets are transferred to your name the account can be managed online**

4 Expression of Wish

In the event of your death your pension will usually provide the benefits. Please use this section to nominate beneficiaries you would like us to consider when exercising our discretion as to whom any benefits will be paid. If you would like to nominate more than four beneficiaries, please use a separate piece of paper to give us their details. Please note this request will supersede any previous Expression of Wish Form you have sent us, and will apply to all your pension accounts.

Beneficiary 1

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Full name

Relationship to you

Percentage (if you are naming more than one beneficiary the percentages must equal 100%)

.

Beneficiary 2

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Full name

Relationship to you

Percentage (if you are naming more than one beneficiary the percentages must equal 100%)

.

4 Expression of Wish (continued)

Beneficiary 3

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Full name

Relationship to you

Percentage (if you are naming more than one beneficiary the percentages must equal 100%)

.

Beneficiary 4

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Full name

Relationship to you

Percentage (if you are naming more than one beneficiary the percentages must equal 100%)

.

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M 0 0 4 5 8 0 4

5 **Adviser details** (this section should be completed by your adviser)

Full name of adviser

Relationship to you

Customer ID

FCA firm reference number

FCA individual reference number

I confirm that I am authorised to conduct this type of business. ☐

I confirm that I have formally recommended my client to carry out this transfer and remain in drawdown. ☐

I confirm and consent to your reliance upon the fact, that I have verified the identity of the client named in section 2 in accordance with the Money Laundering Regulations and standards set out in Guidance issued by the JMLSG. ☐

I confirm that I have provided my client with the Doing Business with Fidelity Adviser Solutions Document, Terms & Conditions and the relevant key information documents ☐

An Adviser Ongoing Fee cannot be applied to this type of Investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Company stamp

Adviser signature

Date signed

(DDMMYYYY)

6 Declaration

In relation to my application for the pension, I declare that:

- I understand that the information I provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms or Fidelity Adviser Solutions Client Terms.
- I hereby accept the transfer of the shares/units, detailed in Section 3, into my account.
- By signing this form I confirm that I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.
- I have read and acknowledge the relevant Key Features Document (Doing Business with Fidelity or Doing Business with Fidelity Adviser Solutions) and will be bound by them (as may be amended from time to time) in the event of my application being successful
- The information given by me is correct to the best of my knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.
- I agree to pay all the charges related to the Fidelity SIPP /pension as set out in the Key Features Document
- I understand that with regard to my expression of wish, the administrator is not bound by my wishes and that I may change my mind at any time by completing a new expression of wish instruction.
- To comply with Money Laundering Regulations, we may need to request additional evidence of identity from you and we may use a credit reference agency for this purpose (who will record that an enquiry has been made).

Your signature

By signing here I confirm that I have read and completed all relevant sections as per the instructions on this form.

Signature

Print name

Date signed

(DDMMYYYY)