Bare Trust Application for an Investment Account for a child

Use this form to make a gift to a child. Your gift will be held in an Investment Account under bare trust.

How to fill in this form:

- Please use black ink and write clearly inside the boxes using capital letters.
- If you make a mistake, please correct it but don't use correction fluid.
- This form has space for details of one donor. If there is more than one donor, please copy the section to complete additional details – you can copy the form as often as you need to.

What you need to know about setting up this account

- The account will be set up in the name of the trust. We will treat the first trustee named on this form as the Lead trustee and address all communications to them.
- The person making the gift to the child, known as the donor, can also be a trustee but doesn't have to be.
- To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score. If we cannot verify your identity in this way, we may ask to see identity documents before you can invest.
- Evidence of the Trust's HMRC Trust Registration Certificate or exemption from registration will be required within 90 days of opening your account.

Who can make Payments?

- Payment from the trustees or settlor;
- A trust bank account;
- A solicitor's client account if professionally acting for the trust.

We can accept a cheque or electronic payments; we will contact you or your adviser with our bank details on receipt of the application, please do not transfer payment to us beforehand.

What's next?

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth KT20 9FU

We will open the trust account and send a confirmation of any lump-sum investments or Direct Debits.

Checklist of documents you should include with your completed form

☐ I have registered the trust with HMRC's Trust Registration Service and have included the certificate of Registration with this application form

☐ I have not registered with HMRC's Trust Registration service yet; I will provide the certificate of registration no later than 90 days from submitting this application form

1 About the trust

Name of the Trust

1.1 About the Trust Beneficiary

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number
(if you already have an account with us)

Date of birth (DDMMYYYY)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.
House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Mobile

Email

National Insurance number It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Town of Birth

Country of Birth

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2 Details of Lead Trustee

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number
(if you already have an account with us)

Date of birth (DDMMYYYY)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.
House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything) **Mobile**

Email

National Insurance number It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country **First country tax identifier**

Additional country **Additional country tax identifier**

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1 **Nationality 2**

Nationality 3 **Nationality 4**

Town of Birth

Country of Birth

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3 Details of second Trustee

Title

Surname

First and other names in full

Fidelity Account Number or Customer Reference Number
(if you already have an account with us)

Date of birth (DDMMYYYY)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.
House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Mobile

Email

National Insurance number It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Town of Birth

Country of Birth

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4 Details of third Trustee

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number
(if you already have an account with us)

Date of birth (DDMMYYYY)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.
House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Mobile

Email

National Insurance number It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Town of Birth

Country of Birth

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5 Details of fourth Trustee

Title

Surname

First and other names in full

Fidelity Account Number or Customer Reference Number
(if you already have an account with us)

Date of birth (DDMMYYYY)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.
House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Mobile

Email

National Insurance number It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

Town of Birth

Country of Birth

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6 About the investor or donor (if they are not a trustee)

Title

Surname

First and other names in full

Address – if different to Account Holder. 'Care' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or the spouse/civil partner of a Crown Employee.

House number/name

Street, city, county and country

Postcode

Date of birth (DDMMYYYY)

Town of birth

Country of birth

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box) ☐

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields

First country

First country tax identifier

Additional country

Additional country tax identifier

7 Joint Holder Renunciation

☐ We wish to enable any trustee named on this application form to act on behalf of the trust and provide instructions to Fidelity

8 Your contribution choices

- We use Fund code to determine your investment; please complete in capital letters. For details of all investment options, fund codes and ISIN codes at fidelity.co.uk/funds
- If investing on our new platform, for ETIs, we will only trade full shares; any cash that is left over from a trade will be held within your account. In some instances, you may receive less that your requested amounts, should the share price change while your instruction is being processed
- If you wish to deal on ETIs, please ensure you have provided us with an LEI in this application form
- There are specific charges related to dealing on an ETI; please refer to our website or our Client Terms for more information

8.1 Lump sum contribution

- Minimum investments for lump sum is £1,000
- The total of investment will be detailed in the investment section

How do I make payment?

☐ Cheque/banker's draft – must be made payable to Fidelity and include the account holder's name. E.G. "Fidelity re: account holder's name". Cheques must be issued from the trustee or settlor's bank account, the trust bank account or a solicitor's client account (if acting for the trust). Payments from other parties are not permitted. In addition, for banker's drafts and building society cheques, the reverse of the draft/cheque must contain the details of the original account debited including the full name, account number and sort code and must be endorsed with the bank's official stamp.

☐ Bank transfer (including faster payments) – please complete the originating bank details below. We will contact you to arrange the payment

Your bank details:

If you're looking to make an electronic payment, please confirm where the payment will be made from;

Bank name

Account holder name

Account number **Sort code**

Contact details for arranging the payment

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Surname

First name

Telephone number

Email address

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8.2 Regular savings plan (RSP) details

Total amount for your Regular Savings Plan
(the amount you wish to invest on a regular basis)

£ ☐☐☐☐☐☐☐ . ☐☐

- Minimum amount for your regular savings plan is £25
- We require 12 working days to set up or amend any RSP from receipt of the application
- Please select the date you wish the collection for your RSP to take place. Please note that the first collection may be in the following month depending on your selection

☐ 1st ☐ 10th ☐ 17th ☐ 25th

- Please select the frequency of the collections. Please note that the first collection may be in the following month depending on your selected collection date.

☐ Monthly ☐ Quarterly ☐ Bi-Annually ☐ Annually

Fidelity INTERNATIONAL

Instruction to your bank or building society to pay by Direct Debit

DIRECT Debit

Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 3FU.

Name and full postal address of your bank or building society

To: The Manager Bank/building society

Address

Postcode

Name(s) of account holder(s)

Bank/building society account number

Branch sort code

Service User Number

4	4	6	1	3	8

Reference

Instruction to your bank or building society
Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

Banks and building societies may not accept Direct Debit instructions for some types of account

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8 Your contribution choices (continued)

FUND CODE / ISIN / SEDOL	FUND NAME	LUMP SUM (£)	REGULAR SAVINGS (%)
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		.	.
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		.	.
		.	.
		.	.
P C A	CASH	.	.
	TOTAL INVESTMENT AMOUNT	.	.

FOR ADVISER USE ONLY

Initial Fee Amount (£)	.
Total Amount (£) (This is the total of the investment amount and the initial fee amount)	.

Investments made into Cash will be made into the cash holding within the account

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9 Your income choices

If you have chosen income bearing investments, please choose how you would like your income to be paid.

☐ The income should be reinvested. I understand that small sums may be paid to cash within my account where a reinvestment cannot be made

☐ The income should be paid to cash within the account

☐ The income should be paid to the bank account provided in this section, and payments made on the payment date and frequency as detailed below

Frequency when I wish to receive payment

☐ Monthly ☐ Quarterly ☐ Bi-Annually ☐ Annually

Date when I wish to receive payment; the payment will be made on or around the selected date

☐ 1st ☐ 10th ☐ 17th ☐ 25th

Bank name

Account holder name

Account number

Sort code

Building society collection account number

With some building society accounts, we need your roll number, as well as the eight-digit collection account number. If you are in any doubt as to which numbers to give us, please ask your building society.

If no selection is made, the income will be treated by a default choice; this will depend whether you are investing directly with us or you are an adviser making investment on behalf of your client.

- If you are investing directly, the income will be paid to the cash within your account
- If you are investing through an adviser, the income will be reinvested

10 Intermediary details - to be completed by the intermediary (if applicable)

Company stamp

Unique Adviser Number

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Verification of identity

☐ I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified all parties to this application in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

Your signature

Intermediary signature

Date signed

(DDMMYYYY)

11 Declaration and signature

Each trustee must sign the following declaration.

I understand that the information I provide on this form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms or the "Your personal information" section of the Fidelity Adviser Solutions Client Terms (as applicable).

I understand that such information will be held in confidence and not passed to any company other than as outlined without our permission or unless required by law.

I declare that:

- **This application is to open a trust that is a Passive Non-Financial Entity under the International Tax Compliance (Crown Dependencies and Gibraltar) Regulations 2014.**
- **I am not a US citizen, am not resident in the US, and do not have an obligation to pay tax to the US authorities on my worldwide income.**
- I have read the Key Features Document – either Doing Business with Fidelity or Doing Business with Fidelity Adviser Solutions (as applicable)
- I have read the latest key information document for the assets the trust is investing in.
- I have read the latest illustration document.
- I accept the Fidelity Client Terms or the Fidelity Adviser Solutions Client Terms (as applicable)
- The information I have given is correct to the best of my knowledge and I will tell Fidelity immediately if any of it changes.
- Any amounts I pay into the account constitute an irrevocable gift to be held in Bare Trust for the benefit of the child named on this form.

If permission granted in section 7 for any trustee to act, we declare and agree that

- The person authorised has been appropriately appointed
- To act in accordance with any trust deed establishing the trust.
- Any decision taken has been taken fully in accordance with the trust rules.
- The trustees take collective responsibility for any action taken by the individual executing the instruction.
- Authorise Fidelity to act upon an instruction given with regard to the Shares/Units and any matter in connection with them or any of them provided such instructions are given by any one of the undersigned including instructions given via Fidelity's Electronic Services. Fidelity does not accept liability in respect of any payment or other act made or done or omitted to be done in accordance with such instructions.
- Agree that Fidelity may refuse to accept any instructions given pursuant to this form of authority which are unclear or which it does not believe to be genuine and that Fidelity will have no responsibility for any delay incurred seeking clarification of instructions or confirming that the instructions are genuine.
- Agree that this authorisation shall remain in force until notice in writing of its termination or replacement is received by Fidelity and any such notice shall be without prejudice to the completion of transactions already initiated pursuant to the above terms.

All Trustees must sign this application form

Signature of lead trustee

Print name

Signature of second trustee

Print name

Signature of third trustee

Print name

Signature of fourth trustee

Print name

Date signed

(DDMMYYYY)

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