Trust application form – Investment Account

Fidelity INTERNATIONAL

You should use this form to open an Investment Account on behalf of a trust.

How to fill in this form:

- Please use black ink and write clearly inside the boxes using capital letters.
- Please only complete the beneficiary details section and nationality questions for Trustees if this relates to a Bare trust.
- If you make a mistake, please correct it but don't use correction fluid.

What you need to know about setting up this account

- Private Trusts (Bare Trust or Discretionary Trust) will be set up in the name of the Trust.
- All correspondence will be sent to the first (Lead) Trustee that we have on our records.
- You'll need to give us personal details of all Trustees linked to the trust
- We need to see the document that established the trust, typically the trust deed. This is evidence of beneficial ownership and the identity of the trust's controllers. If you do not have the necessary document, please contact us.
- To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information provided. This will create a record on your credit report, which will only be visible to you and will not affect your credit score. If we cannot verify your identity in this way, we may ask to see identity documents before you can invest.

Who can make Payments?

- Payment from the trustees or settlor;
- A trust bank account;
- A solicitor's client account if professionally acting for the trust.

We can accept a cheque or electronic payments; we will contact you or your adviser with our bank details on receipt of the application, please do not transfer payment to us beforehand.

What's next?

Please send your completed application form to:

Fidelity
PO Box 391
Tadworth KT20 9FU

We will open the trust account and send a confirmation of any lump-sum investments or Direct Debits.

Checklist of documents you should include with your completed form

Please note that if you send photocopies of any of the following identity documents, you must have them certified first.

- ☐ The trust deed showing the name of the trust and everyone associated with it.
- ☐ In the case of trust set up in a will, the original grant of probate and the will itself. If the investment is coming from an estate and there was no will, you should send the letter of administration, issued by the probate service.
- ☐ An Individual Self Certification of Tax Residency form for each settlor, appointer, protector or absolute beneficiary, apart from any trustees or beneficiaries named below.

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1 About the trust

Name of trust

Account designation (optional)
This will help distinguish the account from any other accounts the trustees may have with us.

Please indicate below that you have provided alongside this application the certificate of registration with the Trust Registration Service, or that the trust is exempt:

Certificate provided ☐ **Trust is exempt** ☐

Reason for exemption

Country in which the trust is resident for tax purposes

(If the trust has more than one country of tax residence, please provide details on a separate page, including a tax identification number for each country.)

Tax identification number for country of tax residency

No tax identification number?
(Please mark an X in the box) ☐

Legal Entity Identifier (Please note your identifier in the boxes provided.)

From 3 January 2018 you will need to give us a Legal Entity Identifier (LEI) if you are going to buy, sell or switch into or out of exchange traded instruments, such as investment trusts, exchange traded assets and company shares. For more information in how to apply for an LEI, please go to fca.org.uk and search for 'LEI update'. If you have more than one LEI, please include details with this form. We may need to contact you for further information.

2 Details of Lead Trustee

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

2 Details of Lead Trustee (continued)

Telephone number (in case we need to check anything)

Mobile

Email

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

Date of birth (DDMMYYYY)

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box) ☐

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Are you a UK National only? (please mark an X in the box) ☐

Are you a UK National and National of one or more other countries? ☐
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only? ☐
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

DETAILS BELOW TO BE COMPLETED ONLY IF THE FIRST TRUSTEE IS ALSO A SETTLOR/DONOR:

Town of Birth

Country of Birth

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3 Details of Second Trustee (if applicable)

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything) **Mobile**

Email

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Date of birth (DDMMYYYY)

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country **First country tax identifier**

Additional country **Additional country tax identifier**

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1 **Nationality 2**

Nationality 3 **Nationality 4**

3 Details of Second Trustee (continued)

DETAILS BELOW TO BE COMPLETED ONLY IF THE SECOND TRUSTEE IS ALSO A SETTLOR/DONOR:

Town of Birth

Country of Birth

4 Details of Third Trustee (if applicable)

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything) **Mobile**

Email

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Date of birth (DDMMYYYY)

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country **First country tax identifier**

Additional country **Additional country tax identifier**

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Are you a UK National only? (please mark an X in the box) ☐

Are you a UK National and National of one or more other countries? (mark an X in the box and list all other countries below) ☐

Are you a National of Non-UK countries only? (tick box and list all other countries below) ☐

Nationality 1

Nationality 2

Nationality 3

Nationality 4

DETAILS BELOW TO BE COMPLETED ONLY IF THE THIRD TRUSTEE IS ALSO A SETTLOR/DONOR:

Town of Birth

Country of Birth

5 Details of Fourth Trustee (if applicable)

Title

Surname

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Mobile

Email

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box. ☐

Date of birth (DDMMYYYY)

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5 Details of Fourth Trustee (if applicable) continued

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box) ☐

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Are you a UK National only? (please mark an X in the box) ☐

Are you a UK National and National of one or more other countries? (mark an X in the box and list all other countries below) ☐

Are you a National of Non-UK countries only? (tick box and list all other countries below) ☐

Nationality 1

Nationality 2

Nationality 3

Nationality 4

DETAILS BELOW TO BE COMPLETED ONLY IF THE FOURTH TRUSTEE IS ALSO A SETTLOR/DONOR:

Town of Birth

Country of Birth

6 Joint Holder Renunciation

☐ We wish to enable any trustee named on this application form to act on behalf of the trust and provide instructions to Fidelity

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7 Details of the first beneficiary (if applicable)

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

The nature and extent of the individual's beneficial interest . %

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Email

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Date of birth (DDMMYYYY)

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country **First country tax identifier**

Additional country **Additional country tax identifier**

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1 **Nationality 2**

Nationality 3 **Nationality 4**

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8 Details of the second beneficiary (if applicable)

Title **Surname**

First and other names in full

Fidelity Account Number or Customer Reference Number (if you already have an account with us)

The nature and extent of the individual's beneficial interest . %

Address This must be a UK residential address. We cannot accept 'Care of' and 'PO Box' addresses.

House number and/or name

Street, city, county and country details

Postcode

Telephone number (in case we need to check anything)

Email

National Insurance number
It is two letters and six digits, followed by A, B, C or D.

No National Insurance number?
If you have never been issued with a National Insurance number, please mark an X in the box.

Date of birth (DDMMYYYY)

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box)

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

Are you a UK National only? (please mark an X in the box)

Are you a UK National and National of one or more other countries?
(mark an X in the box and list all other countries below)

Are you a National of Non-UK countries only?
(tick box and list all other countries below)

Nationality 1

Nationality 2

Nationality 3

Nationality 4

9 About the settlor or donor (if they are not a trustee)

Title

Surname

First and other names in full

Address - if different to Account Holder. 'Care' and PO Box are not acceptable. Only UK addresses are eligible unless you are a Crown Employee or the spouse/civil partner of a Crown Employee.

House number/name

Street, city, county and country details

Postcode

Date of birth (DDMMYYYY)

Town of birth

Country of Birth

Are you also a resident in the UK for tax purposes? (If yes, please mark an X in the box) ☐

Are you also a resident in any other country(s) for tax purposes? If so please complete the following fields:

First country

First country tax identifier

Additional country

Additional country tax identifier

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10 Your contribution choices

- We use Fund code to determine your investment; please complete in capital letters. For details of all investment options, fund codes and ISIN codes at fidelity.co.uk/funds
- If investing on our new platform, for ETIs, we will only trade full shares; any cash that is left over from a trade will be held within your account. In some instances, you may receive less that your requested amounts, should the share price change while your instruction is being processed
- If you wish to deal on ETIs, please ensure you have provided us with an LEI in this application form
- There are specific charges related to dealing on an ETI; please refer to our website or our Client Terms for more information

10.1 Lump sum contribution

- Minimum investments for lump sum is £1,000
- The total of investment will be detailed in the investment section

How do I make payment?

☐ Cheque/banker's draft – must be made payable to Fidelity and include the account holder's name. E.G. "Fidelity re: account holder's name". Cheques must be issued from the trustee or settlor's bank account, the trust bank account or a solicitor's client account (if acting for the trust). Payments from other parties are not permitted.
In addition, for banker's drafts and building society cheques, the reverse of the draft/cheque must contain the details of the original account debited including the full name, account number and sort code and must be endorsed with the bank's official stamp.

☐ Bank transfer (including faster payments) – please complete the originating bank details below. We will contact you to arrange the payment

Your bank details:

If you're looking to make an electronic payment, please confirm where the payment will be made from;

Bank name

Account holder name

Account number

Sort code

Contact details for arranging the payment

Title

☐ Mr ☐ Mrs ☐ Ms Other:

Surname

First name

Telephone number

Email address

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10.2 Regular savings plan (RSP) details

Total amount for your Regular Savings Plan
(the amount you wish to invest on a regular basis)

£ ☐☐☐☐☐☐☐ . ☐☐

- Minimum amount for your regular savings plan is £25
- We require 12 working days to set up or amend any RSP from receipt of the application
- Please select the date you wish the collection for your RSP to take place. Please note that the first collection may be in the following month depending on your selection

☐ 1st ☐ 10th ☐ 17th ☐ 25th

- Please select the frequency of the collections. Please note that the first collection may be in the following month depending on your selected collection date.

☐ Monthly ☐ Quarterly ☐ Bi-Annually ☐ Annually

Fidelity INTERNATIONAL

Instruction to your bank or building society to pay by Direct Debit

DIRECT Debit

Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 3FU.

Name and full postal address of your bank or building society

To: The Manager Bank/building society

Address

Postcode

Name(s) of account holder(s)

Bank/building society account number

Branch sort code

Service User Number

4	4	6	1	3	8

Reference

Instruction to your bank or building society
Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

Banks and building societies may not accept Direct Debit instructions for some types of account

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10 Your contribution choices (continued)

FUND CODE / ISIN / SEDOL	FUND NAME	LUMP SUM (£)	REGULAR SAVINGS (%)
		.	.
		.	.
		.	.
		.	.
		.	.
		.	.
		.	.
		.	.
		.	.
P C A	CASH	.	.
	TOTAL INVESTMENT AMOUNT	.	.

FOR ADVISER USE ONLY

Initial Fee Amount (£)	.
Total Amount (£) (This is the total of the investment amount and the initial fee amount)	.

Investments made into Cash will be made into the cash holding within the account

11 Your income choices

If you have chosen income bearing investments, please choose how you would like your income to be paid.

- ☐ The income should be reinvested. I understand that small sums may be paid to cash within my account where a reinvestment cannot be made
- ☐ The income should be paid to cash within the account
- ☐ The income should be paid to the bank account provided in this section, and payments made on the payment date and frequency as detailed below

Frequency when I wish to receive payment

☐ Monthly ☐ Quarterly ☐ Bi-Annually ☐ Annually

Date when I wish to receive payment; the payment will be made on or around the selected date

☐ 1st ☐ 10th ☐ 17th ☐ 25th

Bank name

Account holder name

Account number

Sort code

Building society collection account number

With some building society accounts, we need your roll number, as well as the eight-digit collection account number. If you are in any doubt as to which numbers to give us, please ask your building society.

If no selection is made, the income will be treated by a default choice; this will depend whether you are investing directly with us or you are an adviser making investment on behalf of your client.

- If you are investing directly, the income will be paid to the cash within your account
- If you are investing through an adviser, the income will be reinvested

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12 Intermediary details - to be completed by the adviser (if applicable)

Company stamp

Unique Adviser Number

FCA number
I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Your signature

Intermediary signature

Date signed

(DDMMYYYY)

13 Verification details for advisers (if applicable)

☐ I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified all parties to this application in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

Please only use this section to name connected parties to the trust who are not listed as applicants

Name of individual

Connection to the trust (delete as appropriate): **Trustee/Settlor/Beneficiary/Protector. Please also complete and return Individual Self Certification of Tax Residency form**

Your signature

Date

(DDMMYYYY)

Name of individual

Connection to the trust (delete as appropriate): **Trustee/Settlor/Beneficiary/Protector. Please also complete and return Individual Self Certification of Tax Residency form**

Your signature

Date

(DDMMYYYY)

14 Declaration and signature

Each trustee must sign the following declaration.

I/We understand that the information I/We provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms and the Adviser Solutions Client Terms (as applicable).

I/We declare that:

- **Application is for a trust that is a Passive Non Financial Entity under the International Tax Compliance Regulations 2015**
- **I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.**
- I have read the latest Key Features Document, either Doing Business with Fidelity or Doing Business with Fidelity Adviser Solutions (as applicable)
- I have read the latest key information document for the assets that the trust is investing in.
- I have read the latest illustration document.
- I accept the Fidelity Client Terms or the Fidelity Adviser Solutions Client Terms (as applicable)
- The information I have given is correct to the best of my knowledge, and I will tell Fidelity immediately if any of it changes.

If permission granted in section 6 for any trustee to act, we declare and agree that

- The person authorised has been appropriately appointed
- To act in accordance with any trust deed establishing the trust.
- Any decision taken has been taken fully in accordance with the trust rules.
- The trustees take collective responsibility for any action taken by the individual executing the instruction.
- Authorise Fidelity to act upon an instruction given with regard to the Shares/Units and any matter in connection with them or any of them provided such instructions are given by any one of the undersigned including instructions given via Fidelity's Electronic Services. Fidelity does not accept liability in respect of any payment or other act made or done or omitted to be done in accordance with such instructions.
- Agree that Fidelity may refuse to accept any instructions given pursuant to this form of authority which are unclear or which it does not believe to be genuine and that Fidelity will have no responsibility for any delay incurred seeking clarification of instructions or confirming that the instructions are genuine.
- Agree that this authorisation shall remain in force until notice in writing of its termination or replacement is received by Fidelity and any such notice shall be without prejudice to the completion of transactions already initiated pursuant to the above terms.

Signature of first trustee

Print name

Signature of second trustee

Print name

Signature of third trustee

Print name

Signature of fourth trustee

Print name

Date

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